

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ming-Jun Li  
Daniel A. Nolan  
Gang Qi

Serial No: 10/044,455

Filed: 1/11/02

For: WAVEGUIDE FIBER FOR DISPERSION  
AND SLOPE COMPENSATION



RECEIVED  
JUL 29 2002  
Examiner: Technology Center 2100  
Group Art Unit:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 - 1.98

Asst. Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

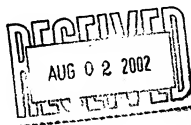
Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: 18 Jul 02

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 18 Jul 02	
Date of Deposit	18 Jul 02
William J. Chervenak	
Name of applicant, assignee, or Registered Representative	
<i>William J. Chervenak</i>	
Signature	18 Jul 02
Date of Signature	18 Jul 02



LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

SP01-029

10/044,455

APPLICANT Li et al.

FILING DATE 1/11/02

GROUP:



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	5,838,867	11/17/98	Onishi et al.	385	123	4/15/97
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL	EP 1067412	1/10/01	France	G02B	6/16		X
	AM							
	AN							
	AO							
	AP							
	AQ							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	Gruner-Nielsen et al., "Dispersion Compensating Fibers", Optical Fiber Technology, Vol. 6, 10/25/99, pp. 164-180
	AS	Jie et al., "The Relationship Between the Dispersion Characteristics of W-Profile Broadband Dispersion Compensation Fiber and its Structural Parameters", Journal of Optical Communications, Vol. 21, No. 3, June 2000, pp. 90-93
	AT	
	AU	
	AV	
	AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.